IPE		• A	4/03/1/3
FEE TRANSMITTAL	Application Number	Complete if Known 10/005.609	
E 17 200 g for FY 2004	Filing Date	November 7, 2001	
E 17 200	First Named Inventor	Arman Glodjo	
Retent fees are subject to annual revision.	Examiner Name	Alain L. Bashore	O EN VA
Applica Claims small entity status. See 37 CFR 1.27	Art Unit	3624	66 50 C
TOTAL AMOUNT OF PAYMENT (\$) 110.00	Attorney Docket No.	22593-06028	10(12 50g)

Check   Groot   Card   Money Order   One   None   Depose   Account   Number   Fee Paid   Peposi Account   Number   Penvitick & West LLP   The Commissioner is authorized be: (check all that apply)   Check all that apply)   Check all that apply   Check								<u> </u>
Deposit Account Name	METHOD OF PAYME	NT (check all that apply)				F	FEE CALCULATION (continued)	<b>U</b> 60
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The Commissioner is authorized to: (check all that apply)   Charge check) indicated below (2) creating (2) can be considered to (1) can be consi	Deposit Account Name	Fenwick & West LLP			1			
Charge feets) indicated below   □ Cherd I any overpayments   1052   50   2052   25   Sucharge - late provisional fling fee or cover sheet	The Commissioner is author	prized to: (check all that apply)			i		Surcharge - late filing fee or oath	
Substitute   Su			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Charge fee(s) indicated below, except for the filing fee   1804   2021   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1805   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,840   1,84	, ,		1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	•	1.17 during the pendency of this	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Tell	I — · · ·	pelow, except for the filing fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
100   170   2001   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185   185	l		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
BASIC FILING FEE			4054	440	2254		Futuraise for early within first month (the annual)	140
Large Entity   Small Entity   Smal		ALCULATION	1251	110				110
Fee   Fee   Fee   Fee   Fee   Fee   Fee   Fee   Description   Fee Paid   1254   1,480   2254   740   Extension for reply within fourth month   1255   2,010   2255   1,005   Extension for reply within fifth month   1401   1303   2401   165   Notice of Appeal   1401   330   2401   165   Notice of Appeal   1401   330   2401   165   Notice of Appeal   1401   330   2401   165   Notice of Appeal   1401   1303   2401   165   Notice of Appeal   1401   1303   2401   165   Notice of Appeal   1401   1401   165   Notice of Appeal   1402   1300   1402   1300   1402   1300   1402   1300   1402   1300   1403   1401   1303   1401   1302   1401   1401   1302   1401   1401   1302   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   1401   140		·1						<u>                                     </u>
1255   2,010   2255   1,005   Extension for reply within fifth month	1 " '	For Description For Baid						<u> </u>
1401   370   2001   385   Utility filing fee   1402   330   2401   165   Notice of Appeal   1401   330   2402   165   Filing a brief in support of an appeal   1402   330   2402   165   Filing a brief in support of an appeal   1402   330   2402   165   Request for oral hearing   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402   1402		ree Description Fee Paid		-				
1002 340 2002 170   Design filing fee   1402 330 2003 265   Plant filing fee   1403 290 2403 145   Request for oral hearing   1403 240 2452 55   Pelition to revive - unintentional   1403 2403 2403 2403 2403 2403 2403 2403 2		Utility filing fee			1		• •	
1003   530   2003   265   Plant filing fee   1451   1,510   1451   1,510   Petition to institute a public use proceeding   1451   1,510   1451   1,510   Petition to revive - unavoidable   1452   110   2452   55   Petition to revive - unavoidable   1452   110   2452   55   Petition to revive - unavoidable   1453   1,330   253   665   Petition to revive - unintentional   1452   110   1453   1,330   253   665   Petition to revive - unintentional   1502   480   2502   240   Design issue fee (or reissue)   1503   640   2503   320   Plant issue fee   1504   1460   130   Petitions to revive - unintentional   1503   640   2503   320   Plant issue fee   1504   1460   130   Petitions to revive - unintentional   1503   640   2503   320   Plant issue fee   1504   1460   130   Petitions to the Commissioner   1503   640   2503   320   Plant issue fee   1504   1460   130   Petitions to the Commissioner   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604   1604		, , , ————————————————————————————————		330	2402	165	• •	
1005   160   2005   80   Provisional filing fee   SUBTOTAL (1)   (\$\$)   0   1453   1,330   2453   665   Petition to revive - unavoidable   1452   110   1453   1,330   2453   665   Petition to revive - unintentional   1452   110   1453   1,330   2453   665   Petition to revive - unintentional   1452   110   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1453   1,330   2453   665   Petition to revive - unintentional   1450   1,330   1450   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350   1350		· ·	1403	290	2403	145		
SUBTOTAL (1)   (\$) 0	1004 770 2004 385	Reissue filing fee	1451	1,510	1451	1,510		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1005 160 2005 80	Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
Total Claims	SUBTOT	AL (1) (\$) 0	1453	1,330	2453	665	Petition to revive - unintentional	
Total Claims   32   -55**   0   X   18   = 0   1502   480   2503   320   Plant issue fee	2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Independent Claims    Subtrotal (2)   Substitute   Substi	Extra		1502	480	2502	240	Design issue fee	
Claims 9 -11 0 86	Total Claims 32 -55**=0	) × 18 = 0	1503	640	2503	320	Plant issue fee	
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Code (\$) Code (\$		x 86 = 0	1460	130	1460	130	Petitions to the Commissioner	
Fee Fee Code (\$)   Fee Description   Substituting   Substituting   Fee Description   Substituting   Su	Multiple Dependent	=	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
Code (\$) Code (\$) Code (\$) Fee basinition (limes number of properties)  1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))  1201 86 2201 43 Independent claims in excess of 3 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))  1203 290 2203 145 Multiple dependent claim, if not paid 1801 770 2801 385 Request for Continued Examination (RCE)  1204 86 2204 43 **Reissue independent claims over original patent  1205 18 2205 9 **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 0  **Reduced by Basic Filing Fee Paid  **Reduced by Basic Filing Fee Paid  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  Name (Print/Type) Edward J. Radlo  **Reduced by Basic Filing Registration No. (Atorney/Agent)  Registration No. (Atorney/Agent)  **Telephone (650) 335-7142  **Telephone (650) 335-7142	Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	
1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1801 770 2801 385 Request for Continued Examination (RCE) 1204 86 2204 43 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$\frac{1}{3}\$) 0  "ror number previously paid, if greater; For Reissues, see above  SUBMITTED BY  Complete (if applicable)  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Telephone (650) 335-7142		Fee Description	8021	40	8021	40		
1201 86 2201 43 Independent claims in excess of 3  1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))  1203 290 2203 145 Multiple dependent claim, if not paid 1801 770 2801 385 Request for Continued Examination (RCE)  1204 86 2204 43 "Reissue independent claims over original patent 1802 900 Request for expedited examination of a design application  1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1802 900 Tother fee (specify)  SUBTOTAL (2) (\$) 0 Reduced by Basic Filing Fee Paid  SUBMITTED BY  Complete (if applicable)  Registration No. (Attorney/Agent) 26,793 Telephone (650) 335-7142	1 11	Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection	
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent 1205 18 2205 9 "Reduced by Basic Filing Fee Paid 1205 1802 900 Request for Continued Examination (RCE) 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination (RCE) 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for continued Examination (RCE) 1802 900 Request for Continued Examination (RCE) 1802 900 Request for Continued Examination (RCE) 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination of a design application 1205 1802 900 Request for expedited examination	1201 86 2201 43	Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined	
1204 86 2204 43 "Reissue independent claims over original patent  1205 18 2205 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 0  "or number previously paid, if greater; For Reissues, see above  SUBMITTED BY  Complete (if applicable)  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Request for expedited examination of a design application  Other fee (specify)  SUBTOTAL (3) (\$) 110  Reduced by Basic Filing Fee Paid	1203 290 2203 145	Multiple dependent claim, if not paid	1801	770	2801	385		
1205 18 2205 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 0  "or number previously paid, if greater; For Reissues, see above  SUBMITTED BY  Complete (if applicable)  Name (Print/Type) Edward J. Radlo  Registration No. (Attorney/Agent) 26,793  Telephone (650) 335-7142		**Reissue independent claims over	1802	900	1802	900	Request for expedited examination of a design	
SUBTOTAL (2) (\$) 0  "or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid  SUBMITTED BY  Complete (if applicable)  Name (Print/Type) Edward J. Radlo Registration No. (Attorney/Agent) 26,793 Telephone (650) 335-7142	1205 18 2205 9	**Reissue claims in excess of 20	'					
"or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid  SUBMITTED BY  Complete (if applicable)  Name (Print/Type) Edward J. Radlo Registration No. (Attorney/Agent) 26,793 Telephone (650) 335-7142		ano over original patent						
"or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid  SUBMITTED BY  Complete (if applicable)  Name (Print/Type) Edward J. Radlo Registration No. (Attorney/Agent) 26,793 Telephone (650) 335-7142	SUBTOTAL (2) (\$\ 0						SUBTOTAL (2) (\$) 110	
SUBMITTED BY  Name (Print/Type)  Edward J. Radlo  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  26,793  Telephone (650) 335-7142				ed by Basi	c Filing F	ee Paid	SUBTUIAL (3) TO	
Name (Print/Type) Edward J. Radlo Registration No. (Attorney/Agent) 26,793 Telephone (650) 335-7142								
	Name (Print/Type) Edward   Padlo Registration No. 26 703						42 )	



## IN THE

## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Arman Glodjo, Nathan D. Bronson, and Scott E.

Harrington

SERIAL NO.:

10/005,609

FILING DATE:

November 7, 2001

TITLE:

Global Electronic Trading System

**EXAMINER:** 

Alain L. Bashore

GROUP ART UNIT: 3624

ATTY. DKT. NO.: 22593-06028

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Fee Amendment, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

Dec-(5, 2003

By:

Edward J. Radlo, Reg. No.: \$26,79

GROUP SOO

MAIL STOP FEE AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## AMENDMENT A

SIR:

In response to the Office Action mailed August 13, 2003, which Action was denominated as paper number 9, please amend the application as follows: